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APPLICATION FOR LETTERS PATENT

**Method and Apparatus for Managing a Data Carousel**

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## **RELATED APPLICATIONS**

This is a continuation –in-part of Application Number 10/419,616, filed April 21, 2003, entitled “Method and Apparatus for Managing a Data Carousel.”

## **TECHNICAL FIELD**

The present invention relates to methods and systems that handle various data files in a data carousel.

## **BACKGROUND**

Television broadcast systems use various methods and systems to distribute television content. Television signals can be distributed via cable, via satellite, or via over-the-air delivery. Interactive television systems permit two-way communication between the television service provider and the television viewer. Servers or similar systems at the head end distribute content to multiple set top boxes (or other devices) used by individuals. Interactive television systems allow individuals to communicate with the head end equipment. For example, individuals may request specific content, such as a movie or data listing. Additionally, individuals may respond to questions or provide other information to the equipment at the head end.

In some television systems, a data carousel is used to distribute data in a repetitive manner. An example data carousel generator uses data files organized in a file hierarchy of a storage mechanism to produce data modules encapsulated and packetized in MPEG-2 Transport Stream packets that can be transmitted (or played) in a cyclical manner. Typically, data files in a data carousel are multiplexed with other video, audio, or auxiliary data in a transport stream, such as

1 an MPEG-2 (Moving Pictures Experts Group) video elementary stream. The data  
2 carousel protocol (or the related object carousel protocol) is defined in Part 6 of  
3 the MPEG-2 (Digital Storage Media Command and Control – DSM-CC) Standard,  
4 also referred as Standard ISO/IEC 13818-6. The data files may be transmitted, for  
5 example, using one or more digital television channels (also referred to as Virtual  
6 Channel television channels).

7         The quantity and arrangement of data files in the data carousel determines  
8 the frequency with which particular data files are transmitted and the delay  
9 between successive transmissions of the same data file. In systems that use a data  
10 carousel, it is desirable to optimize the latency between a user request for a data  
11 file and the user receiving the requested data file from the data carousel. In a static  
12 system in which the data files in the carousel don't change (or change infrequently  
13 and independently of user requests), managing this latency is relatively simple.  
14 However, in a dynamic environment in which new data files are being added to the  
15 carousel and existing data files are being removed from the carousel, managing the  
16 latency in the system is more difficult.

17         Accordingly, there is a need for an improved system and method to manage  
18 the operation of a dynamic data carousel.

## 19 20 **SUMMARY**

21         The systems and methods described herein manage various operations of a  
22 data carousel, including the insertion of files into the data carousel and the  
23 removal of files from the carousel. In one embodiment, the systems and methods  
24 manage a worst case latency between successive transmissions of a data file in a  
25 data carousel. This worst case latency is compared to a threshold latency value. If

1 the worst case latency exceeds the threshold latency value, the composition of the  
2 data carousel is modified. In another embodiment, the systems and methods  
3 modify the data files of a data carousel based on various information obtained  
4 from receivers of the data files.

## 5 6 7 **BRIEF DESCRIPTION OF THE DRAWINGS**

8 Similar reference numbers are used throughout the figures to reference like  
9 components and/or features.

10 Fig. 1 is a block diagram illustrating an exemplary system that multiplexes  
11 data from various data sources to generate a data stream, such as an MPEG-2  
12 transport stream.

13 Fig. 2 is a block diagram illustrating an example data carousel.

14 Fig. 3 is a block diagram showing an exemplary data carousel containing a  
15 sequence of bounded data modules.

16 Figs. 4A and 4B represent a flow diagram illustrating a procedure for  
17 adding data files to a data carousel and removing data files from a data carousel.

18 Fig. 5 is a flow diagram illustrating a procedure for managing a data  
19 carousel to maintain a minimum quality of service level.

20 Fig. 6 illustrates various operations for modifying the arrangement of data  
21 files in a set of data files based on information from receivers of the set of data  
22 files.

23 Fig. 7 illustrates an example of a computing environment.  
24  
25

## DETAILED DESCRIPTION

The systems and methods described herein manage data files contained in a data carousel. In particular, these systems and methods are able to insert new data files into the data carousel, delete existing data files from the data carousel and change the arrangement of data files in the data carousel. By monitoring worst case latencies between successive transmissions of data files in the data carousel, a particular quality of service level is maintained.

Fig. 1 is a block diagram illustrating an exemplary system 100 that multiplexes data from various data sources to generate a data stream, such as an MPEG-2 transport stream. The environment of Fig. 1 may be referred to as the “head end” of a broadcast system. A data carousel 102, a video data source 104, an audio data source 106 and an auxiliary data source 108 are coupled to a data multiplexer 110. In alternate embodiments, data multiplexer 110 may be coupled to any number of data sources of any type. Data carousel 102 stores multiple data files and provides those data files to data multiplexer 110 in a repetitive manner. Additional details regarding data carousel 102 are discussed herein.

Video data source 104 may be any type of device capable of generating, reproducing, rendering, or otherwise providing MPEG-2 Transport Stream packets of video data to data multiplexer 110. Video data includes, for example, television programs, movies, pictures, and the like. Audio data source 106 can be any type of device capable of playing, recreating, or otherwise providing MPEG-2 Transport Stream packets of audio data to data multiplexer 110. In one embodiment, the audio data from audio data source 106 is associated with the video data from video data source 104. For example, the audio data may represent an audio track associated with a video program. In such case, the audio elementary stream(s) and

1 the video elementary stream share the same reference clock so that the video and  
2 audio services are properly synchronized. Other examples of audio data include  
3 movie soundtracks, music, narratives, etc. Auxiliary data source 108 provides  
4 various MPEG-2 Transport Stream packets of data to data multiplexer 110, such as  
5 MPEG-2 System Information tables like the Program Association Table and the  
6 Program Map Table. Auxiliary data includes, for example, interactive television  
7 data, electronic program guide information, games, and the like. Auxiliary data  
8 may or may not be synchronized to the video and/or audio services.

9 Data multiplexer 110 receives MPEG-2 Transport Stream packets from the  
10 various sources shown in Fig. 1 and multiplexes the received data into a single  
11 MPEG-2 Transport Stream 112. Transport Stream 112 is provided to a transmitter  
12 114, which converts the bits to an analog signal which is then modulated and  
13 transmitted to any number of receiving devices (not shown). The MPEG-2 data  
14 stream can be transmitted over-the-air, via cable, via satellite, via one or more data  
15 communication networks, or any other transmission medium. Although the  
16 example of Fig. 1 discusses MPEG-2 as an example encoding technique, alternate  
17 embodiments may utilize any data encoding technique. Further, various  
18 embodiments may communicate data using any protocol and any type of  
19 communication medium.

20 Fig. 1 represents one possible environment in which a data carousel is used  
21 to provide data files. Various other arrangements of systems and components may  
22 utilize data files from a data carousel.

23 Exemplary systems and procedures discussed herein relate to television  
24 systems, such as interactive television systems. However, the systems and  
25

1 procedures described herein can be used in any environment where the distribution  
2 of files from a data carousel is desired.

3 As used herein, any reference to the terminology “data carousel” may be  
4 substituted for “object carousel”. The Object Carousel is a protocol similar to the  
5 data carousel, except that it defines additional semantics on the construct of the  
6 data modules of a data carousel to support a more complex hierarchical structure  
7 among objects that are downloaded. Whether the MPEG-2 Data Carousel or the  
8 MPEG-2 Object Carousel protocols or variations/enhancements thereof is used,  
9 the terminology “file” or “data file” refers to the contents of a single data module  
10 which is the unit of transmission in these protocols. In this context, it should be  
11 understood that a “file” or a “data file” can be the aggregation of multiple system  
12 or user files residing within the same data module. This is particularly true for the  
13 Object Carousel protocol where typically (but not necessarily), multiple BIOP  
14 (Broadcast Inter-ORB Protocol) objects are conveying in a single data module.

15 Fig. 2 is a block diagram illustrating an example implementation of the data  
16 carousel 200. A carousel controller 202 receives operational information such as  
17 data requests and maximum latency information. Data requests may include, for  
18 example, requests for the number of MPEG-2 Program Elements used to convey  
19 the data carousel, the bit rate for the data carousel or the parameters of the MPEG-  
20 2 T-STD (Transport System Target Decoder) buffer model governing the delivery  
21 of the data, and requests and other information from receivers 212 of the data files.  
22 Maximum latency information is a preferred maximum amount of time permitted  
23 between receiving a request for a particular file and providing the requested file  
24 from the data carousel. This maximum latency information defines a particular  
25 quality of service provided by the data carousel system. Additional details

1 regarding the maximum latency information are provided below. Additional details  
2 regarding the requests and other information from receivers 212 of the data files  
3 are also provided below.

4 Carousel controller 202 is also responsible for managing the overall  
5 operation of the data carousel. For example, carousel controller 202 is responsible  
6 for determining which data files are inserted into the data carousel and which data  
7 files are deleted from the data carousel. Carousel controller 202 also determines  
8 the types of data that are contained in the data carousel.

9 A carousel configuration module 204 is coupled to carousel controller 202  
10 and contains various configuration data used by the components shown in Fig. 2.  
11 Example configuration data includes the size of the data files stored in the  
12 carousel, the frequency with which various data files are repeated, the manner in  
13 which existing data files are deleted from the data carousel and the manner in  
14 which new data files are inserted into the data carousel. The carousel configuration  
15 module 204 is also used to provide caching instructions for particular files in the  
16 carousel, instructions for organizing the files and directories across all data  
17 modules, instructions for specifying the size of the MPEG-2 sections used to  
18 encapsulate the data carousel protocol, timeout information, and instructions for  
19 how many times each file must be repeated in a fundamental period of the  
20 carousel.

21 Carousel configuration module 204 is coupled to a carousel generator 206,  
22 which generates the data files that are formatted as a sequence of MPEG-2  
23 Transport Stream packets 210. Carousel generator 206 is coupled to a data storage  
24 device 208, which stores various data, such as video data, audio data, interactive  
25 television data, program guide information, game data, and the like. Carousel



1 generator 206 is also coupled to the data file receivers 212. Carousel generator 206  
2 retrieves data from data storage device 208 and generates MPEG-2 Transport  
3 Stream packets corresponding to one or more data files for delivery to the data file  
4 receivers 212.

5 As discussed herein, data carousel files are periodically removed from the  
6 data carousel, as determined by carousel controller 202. Additionally, new data  
7 files may be added to the existing data carousel files based on instructions from  
8 carousel controller 202.

9 Data carousel files are arranged in a cyclical manner, as discussed below.  
10 Multiple copies of a particular data file may be contained in the data carousel files.  
11 Multiple copies of a particular data file may also be referred to as multiple  
12 “instances” of the data file. Output data is generated by data carousel files based  
13 on the “active” data file in the carousel.

14 Different receivers may tune to the same television channel at different  
15 times. This situation is addressed by repeating the transmission of important data  
16 files, so that each receiver receives the important data files soon after tuning to a  
17 particular channel. Thus, use of a data carousel of the type described herein can  
18 provide faster access to important data.

19 Fig. 3 is a block diagram showing an exemplary data carousel 300  
20 containing a sequence of bounded data modules 302. Data modules 302 represent  
21 a basic component of data carousel 300. Each data module 302 is capable of  
22 storing a data file, several BIOP (Broadcast Inter-ORB Protocol) objects as  
23 defined in MPEG-2 DSM-CC, or other information. Particular embodiments  
24 discussed herein store data files (e.g., files identified as  $m_1$ ,  $m_2$ ,  $m_3$ ,  $m_4$  and  $m_5$ )  
25 in data carousel 300. These data files may contain various types of data or

1 information. For example, in accordance with one embodiment, the data files may  
2 store image data, such as web pages, and the like. In another embodiment, the data  
3 files store executable files. It should be understood, however, that data files may  
4 store various other types of data or information. In general, data files may contain  
5 any type of data used for any purpose.

6 Data carousel 300 contains sixteen data modules 302, labeled 302(1) –  
7 302(16). Alternate embodiments of data carousel 300 may contain any number of  
8 data modules 302. A specific embodiment of data carousel 300 contains several  
9 hundred data modules 302. The sequence of data files shown in carousel 300 are  
10 repeated in a cyclical manner.

11 As discussed herein, the positioning of data in data modules 302 affects the  
12 manner in which data is received by the data file receivers 212. Receivers (and  
13 receiver users or receiver applications) typically have expectations as to how often  
14 a particular type of data should be received. For example, a data file receiver may  
15 expect all data to be displayed within five seconds of tuning to a particular  
16 channel.

17 Various techniques are available for populating data carousel 300 and  
18 positioning data in the data modules 302. One technique puts the most popular  
19 data (e.g., the most requested data) in data carousel 300 and inserts infrequently  
20 requested data into data carousel 300 after receiving a request for the data. The  
21 data stored in data carousel 300 may change over time based, for example and  
22 without limitation, on the time of day, changes in popularity of information or  
23 programs, feedback received from data file receivers 212, etc.

24 As shown in data carousel 300, one or more copies of the same data file  
25 may be stored in multiple data modules 302. For example, data file  $m_1$  is

1 represented four times in data carousel 300. Similarly, data file m<sub>2</sub> is represented  
2 four times, data file m<sub>3</sub> is represented four times, data file m<sub>4</sub> is represented two  
3 times and data file m<sub>5</sub> is represented one time in data carousel 300. One data  
4 module 302(5) in carousel 300 is empty, as indicated by an “X”, meaning it is not  
5 present in the Transport Stream.

6 One issue that arises in a data carousel environment is how to position (or  
7 distribute) multiple data files in the data carousel such that a receiver begins  
8 acquiring a particular data file within the next T seconds. Additionally, when  
9 populating data files in a data carousel or modifying the current set of data files in  
10 the data carousel, the system generally determines which data files should be in  
11 the data carousel and which files should wait to be inserted into the data carousel  
12 until they are requested by a receiver. For example, receivers may be coupled to a  
13 data carousel through a “back channel”, which is a separate communication link  
14 from the in-band paths used to transmit the data stream to the receiver. Example  
15 back channels include network connections, such as a broadband connection, out-  
16 of-band transmission paths, or a POTS (Plain Old Telephone Service)  
17 communication link.

18 Various calculations, formulas and discussions herein utilize certain  
19 variables and other information discussed below. With reference to Fig. 3, a  
20 variable K represents the rate in bits/second at which data is delivered from data  
21 carousel 300. In one embodiment, the data files are substantially evenly distributed  
22 in data carousel 300. The worst case latency (i.e., the maximum latency) for  
23 acquiring a data file from data carousel 300 should not exceed T seconds.

24 In one embodiment, the value of T is calculated by adding the time it takes  
25 for a data file receiver request to reach the head end (e.g., via a back channel), the

1 time it takes the head end to insert the file into the data carousel and the time it  
2 takes for the data file receiver to acquire the data. Thus, the value of T is set such  
3 that the data carousel provides data to data file receiver faster than if the data file  
4 receiver requested the specific data.

5 As shown in Fig. 3, data carousel 300 contains sixteen data modules 302,  
6 each capable of storing a data file. The total number of modules in carousel 300 is  
7 represented by a variable M. In carousel 300, each data file  $m_i$  is repeated  $r_i$  times.  
8 For example, data file  $m_1$  is repeated four times and data file  $m_4$  is repeated two  
9 times. The value of  $r_i$  is a measure of the importance of a data file. The greater the  
10 value of  $r_i$ , the greater its importance and the greater its frequency in data carousel  
11 300. The amount of data stored in each module  $m_i$  is  $s_i$  bits. The total number of  
12 bits in one period of carousel 300 is represented by a variable S. The value of S is  
13 calculated using the following formula.

$$S = \sum_{i=1}^{i=M} r_i s_i$$

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16  
17 The period of carousel 300 is the time needed to send S bits at the bit rate of K  
18 bits/second. The period of carousel 300 is represented by a variable P. The value  
19 of P is calculated using the following formula.

$$P = S/K \text{ seconds}$$

20  
21  
22  
23 For a particular data file  $m_j$  in a data carousel containing M data files, the largest  
24 amount of time necessary to wait to receive the next occurrence of data file  $m_j$  in  
25

1 the data carousel is represented by  $L_{\max_{j,M}}$ .  $L_{\max_{j,M}}$  represents a worst case  
2 scenario and represents the longest time (in seconds) that a receiver needs to wait  
3 before receiving data file  $m_j$  in a data carousel having  $M$  data files. This value is  
4 calculated using the following formula.

$$L_{\max_{j,M}} = \frac{\sum_{i=1, i \neq j}^{i=M} d_{i,j} s_i}{K} \text{ seconds}$$

5  
6  
7  
8 where

$$d_{i,j} = \left\lceil \frac{r_i}{r_j} \right\rceil$$

9  
10  
11  
12  
13  
14 When calculating  $d_{i,j}$ ,  $r_i$  represents the number of times data file  $m_i$  is  
15 repeated in the data carousel and  $r_j$  represent the number of times data file  $m_j$  is  
16 repeated in the same data carousel. The variable  $d_{i,j}$  determines a ratio of  
17 frequencies of two data files in a data carousel (i.e., the frequency of one data file  
18 relative to the frequency of another data file). The value of  $d_{i,j}$  is rounded up to the  
19 next integer such that  $d_{i,j}$  is equal to or greater than one. The rounding operation  
20 takes into account the fact that the ratios may not always be integer values and  
21 distribution of the data files in the data carousel may not always be exactly  
22 uniform. Thus, the relative positioning of the data files  $m_i$  relative to the data files  
23  $m_j$  in the data carousel may cause some intervals separating two consecutive data  
24  
25

1 files  $m_i$  to include an additional data file  $m_j$ . Rounding up ensures that latency  
2 calculations represent the worst case latency scenario.

3 The formula for  $L_{\max_{j,M}}$  shown above calculates the longest wait time for  
4 data file  $m_j$  from a data carousel. The summation portion of the formula sums over  
5 all data files  $i$ . The value of  $d_{i,j}$  identifies the number of data files that may be  
6 encountered before the next occurrence of data file  $m_j$ . The portion of the formula  
7 that contains  $s_j/K$  identifies the time needed to transmit data file  $m_j$ .

8 Referring again to Fig. 3, the latency between successive transmissions of  
9 data file  $m_1$  varies as follows. Following a clockwise rotation (which we will  
10 assume herein as representing the order in which the modules are transmitted), the  
11 latency between module 302(1) and 302(4) is three (i.e., three positions in the data  
12 carousel). Continuing in a clockwise rotation, the latency between module 302(4)  
13 and 302(9) is four in the case where empty module 302(5) is skipped. The latency  
14 between module 302(9) and 302(12) is three. Finally, the latency between module  
15 302(12) and 302(1) is five. Thus, the worst latency between successive  
16 transmissions of data file  $m_1$  from the data carousel is five positions. The actual  
17 latency time is the time necessary to transmit the data files contained in those five  
18 intermediate positions.

19 If a data file requested by a user is not in the data carousel, the requested  
20 data file is added to the data carousel. For this example, the requested data file is  
21 added to the data carousel without removing any data files from the data carousel.  
22 The requested data file is referred to as  $m_{M+1}$ . The requested data file is repeated  
23  $r_{M+1}$  times in one period of the carousel and the size of module  $m_{M+1}$  is  $s_{M+1}$ . Since  
24 an additional data file has been added to the data carousel without changing the  
25

delivery rate (K bits/second), the largest latency until a module  $m_j$  is received by a receiver is determined using the following formula.

$$L_{\max_{j,M+1}} = \frac{\sum_{i=1, i \neq j}^{i=M+1} d_{i,j} s_i}{K} \text{ seconds}$$

Where  $d_{M+1,j}$  is the ratio  $r_{M+1}/r_j$  rounded up to the next integer. The value of  $r_{M+1}$  can be selected such that  $L_{\max_{M+1,M+1}}$  (the worst case latency to receive data file  $m_{M+1}$  after it has been added to the data carousel) is less than or equal to T seconds. Thus, a data file repetition value  $r_{M+1}$  is selected such that

$$L_{\max_{M+1,M+1}} = \frac{\sum_{i=1}^{i=M} d_{i,M+1} s_i}{K} \leq T \text{ seconds}$$

Alternatively, other criteria can be used if the carousel already contains a large number of data files. If  $j \neq M+1$ , then the above equation can be rewritten as follows.

$$L_{\max_{j,M+1}} = L_{\max_{j,M}} + \frac{d_{M+1,j} s_{M+1}}{K}$$

The quantity  $d_{M+1,j} s_{M+1} / K$  represents the additional latency to acquire data file  $m_j$  from the data carousel after adding another data file to the data carousel and without removing any data files. This additional latency is generally acceptable as long as any  $L_{\max_{j,M+1}}$  remains less than or equal to T (for  $1 \leq j \leq M+1$ ). If one  $L_{\max_{j,M+1}}$  becomes larger than T seconds ( $j \neq M+1$ ), then the data file  $m_j$

1 corresponding to that  $L_{\max_{j,M+1}}$  is removed from the data carousel to avoid  
2 degrading the overall performance of the data carousel. The situation where  $j=M$   
3 and where the value of  $L_{\max_{j,M+1}}$  is larger than  $T$  seconds means that the  
4 duplication factor  $r_{M+1}$  for the new module was not selected high enough and must  
5 be increased to meet the criteria.

6 Figs. 4A and 4B represent a flow diagram illustrating a procedure 400 for  
7 adding data files to a data carousel and removing data files from a data carousel.  
8 This procedure is implemented by the carousel controller 202 of Figure 2. Initially,  
9 procedure 400 receives a request to add a new data file to the data carousel (block  
10 402). This request may be from one or more of the data file receivers 212 or from  
11 an administrator of a broadcasting system that includes a data carousel. The  
12 procedure then determines the number of bits ( $s_i$ ) associated with each data file in  
13 a data carousel (block 404). At block 406, the procedure then determines (e.g.,  
14 reads) a data transmission rate ( $K$ ) and a maximum latency value ( $T$ ). Procedure  
15 400 continues by determining (e.g., reading) a total number of modules ( $M+1$ ) in  
16 the data carousel (block 408) and the number of occurrences of each module in the  
17 carousel period. The total number of modules ( $M+1$ ) refers to the number of  
18 modules if a new module is added to the carousel. At block 410, the procedure  
19 calculates the ratio of frequencies of all pairs of data files in the data carousel ( $d_{ij}$ ).  
20 Next, the procedure calculates the anticipated value of  $L_{\max_{j,M+1}}$  if the new  
21 module is added (block 412).

22 Procedure 400 then initializes a variable  $J$  to identify the first data file in the  
23 list (block 414). At block 418 (Fig. 4B), the procedure determines whether the  
24 latency for file  $J$  after the new module is added is still within the maximum  
25 tolerance  $T$ . If so, the procedure determines whether all data files have been



1 considered (block 420). If additional data files remain to be considered, the  
2 procedure branches to block 422, which increments the value of J and returns to  
3 block 418. If all data files have been considered at block 420, the procedure adds  
4 the new data file to the data carousel (block 424). The procedure then returns to  
5 block 402 (Fig. 4A) to await the next request to add a new data file to the data  
6 carousel.

7 If, at block 418, the latency for file J after the new module added is not  
8 within the maximum value T, the procedure determines whether the data file that  
9 does not meet the criterion is the newly added file (block 426). If yes, the  
10 procedure increases the number of instances of data file M+1 in the data carousel  
11 (block 428). The procedure then returns to block 410 to recalculate the ratios since  
12 the number of instances of the new data file was increased by one.

13 If no (at block 426), the procedure removes all instances of data file J from  
14 the data carousel (block 430). In this situation, removing some of the instances of  
15 data file J is not helpful because the latency condition would still fail (too large of  
16 a latency between consecutive occurrences of the same data file). From block 430,  
17 the procedure increments the value of J and returns to block 418 to continue  
18 evaluating the remaining values of J.

19 An alternative to removing one or more data files as depicted in process  
20 400 is to increase the delivery bit rate K so the overall carousel period is reduced.  
21 When removing a data file from the data carousel, the procedure first may try to  
22 remove a single instance or multiple instances of a data file (as long as the latency  
23 criterion is still satisfied) before it decides to remove all instances of the data file.  
24 If all instances of a particular data file are removed from the data carousel, the data  
25 file is no longer available from the data carousel.

1       The procedure illustrated in Figs. 4A and 4B represents one example of a  
2 procedure for adding data files to a data carousel and removing data files from a  
3 data carousel. In alternate embodiments, various modifications are made to the  
4 procedure illustrated in Figs. 4A and 4B. For example, as opposed to going back to  
5 block 418 after block 422, it may be preferable to go back to block 410 after  
6 decrementing the value of  $M$  by one. In this case, the latency values  $L_{\max_{j,M+1}}$  are  
7 all recalculated to take into account that one data module has been removed from  
8 the carousel. In another alternative, after block 430, the remaining values for  
9  $L_{\max_{j,M+1}}$  are recalculated to account for one less data file in the data carousel.  
10 This recalculation is performed because the new latency values calculated with  $M$   
11 modules may pass the criteria while the values calculated with  $M+1$  modules does  
12 not pass the criteria. In other embodiments, the data delivery rate of the carousel is  
13 increased to reduce the time between subsequent transmissions of instances of the  
14 same data file. In these two alternative designs, additional operations similar to  
15 those illustrated in blocks 510, 512 and 514 of Figure 5 (discussed below) may be  
16 required.

17       In one embodiment, a carousel controller (e.g., carousel controller 202 in  
18 Fig. 2) maintains the values of  $L_{\max_{j,M}}$  in a table. For example, the table may  
19 contain  $M$  entries and  $M$  corresponding latency values. After adding another  
20 module to the data carousel, the carousel controller updates the latency values with  
21 the values  $L_{\max_{j,M+1}}$  and also computes an additional value  $L_{\max_{M+1,M+1}}$ . These  
22 new latency values are then analyzed to determine whether any of the values  
23 exceed  $T$ . If so, the carousel controller removes the module  $m_u$  (assuming that  $u$  is  
24 not equal to  $M+1$ ) with the largest value  $L_{\max_{u,M+1}}$  and adds module  $m_{M+1}$  to the  
25 data carousel. If the latency for module  $M+1$  exceeds  $T$  seconds, this means that

1 the repetition factor for data file  $M+1$  was not properly chosen in the first place  
2 and therefore must be increased. If none of the latency values exceed  $T$ , module  
3  $m_{M+1}$  is added to the carousel without removing any other modules. This procedure  
4 enforces a certain minimum level of quality of service to receivers that are  
5 receiving data from the data carousel.

6 In an alternate embodiment, to leverage the fact that there may be  
7 unnecessary instances of a data file, the system decreases the frequency of certain  
8 data files to make room for one or more new data files as long as the latency  
9 criterion is still verified. These files are typically the ones for which  $L_{\max_{u,M+1}}$  is  
10 much smaller than the threshold  $T$ . Thus, rather than completely deleting all copies  
11 of a data file to make room for new data files, a portion of the copies of various  
12 data files are removed to provide space for the new data files.

13 In one embodiment, the data carousel is designed such that it can deliver  
14 any data file in less time than it takes to request the data file through a back  
15 channel or other communication link.

16 When determining whether to add a new data file to a data carousel, the  
17 data carousel monitors and aggregates various requests for data files from the data  
18 file receivers 212 and/or other sources. For example, the carousel controller  
19 maintains a record of the data file requests received over a pre-defined time  
20 window. Insertion of new data modules as well as removal of data modules is  
21 driven by the requests accumulated over that period of time. The relative  
22 frequency of a new data file is calculated from the relative number of requests for  
23 one data file versus others.

1 In another embodiment,  $d_{ij}$  is calculated to represent an “average” latency  
2 for module  $m_j$  over one period of the data carousel. In this embodiment,  $d_{ij}$  is  
3 calculated as follows.

$$4 \quad d_{i,j} = \frac{r_i}{r_j}$$

6 Using this averaging technique, the formula for  $L_{\max}$  can be expressed as follows.

$$8 \quad L_{\max_{j,M+1}} = L_{\max_{j,M}} + \frac{r_{M+1} s_{M+1}}{r_j K}$$

10 According to the above formula, the frequency of repetition for a new module  
11  $m_{M+1}$  should be such that the following condition is true for all modules  $m_j$ .  
12

$$13 \quad r_{M+1} \leq \frac{T K r_j - \sum_{i=1, i \neq j}^M r_i s_i}{s_{M+1}}$$

16 Additionally, the time needed to acquire module  $m_j$  could also be included  
17 in the formulas shown above to take into account the time it takes to download the  
18 data file of interest. In this case, latency accounts for complete availability of the  
19 data module in the receiver. The former case measures latency up to the instant  
20 where the data files start being acquired by the data file receiver 212, as discussed  
21 in the previous paragraphs.  
22

23 Assuming that the repetition factor was properly selected for the new data  
24 file, and when a data file needs to be deleted from the data carousel (e.g., to allow  
25 a new data file to be added to the data carousel), the system must select an

1 appropriate data file. In one embodiment, a data file generating the largest value  
2 for  $L_{max}$  is deleted from the data carousel. In another embodiment, all instances  
3 of one or several data file(s) having the lowest priority is deleted from the  
4 carousel. Alternatively, the system may delete the data file having the fewest  
5 requests during a recent time period or the data file that has not been requested for  
6 the greatest period of time. Various other procedures can be used to select a data  
7 file to be deleted from the data carousel. In particular embodiments, carousel  
8 controller 202 determines which data file to delete from the data carousel.

9       Fig. 5 is a flow diagram illustrating a procedure 500 for managing a data  
10 carousel to maintain a minimum quality of service level. Initially, procedure 500  
11 determines a bit rate ( $K$ ), the number of data modules ( $M$ ) in the data carousel, the  
12 transaction latency on the return channel, and a maximum desired latency value  
13 ( $T$ ) for the data carousel (block 502). The maximum desired latency value is  
14 measured in seconds. The maximum desired latency value represents the  
15 maximum allowed time between subsequent transmissions of instances of the  
16 same data file within a single period of the data carousel. For example, a typical  
17 maximum desired latency value is on the order of 5-10 seconds. By enforcing the  
18 maximum desired latency value, the overall quality of service provided by the data  
19 carousel system is maintained at or above a particular level. Choices for the value  
20 of  $T$  may be affected by several considerations. In the case of receivers connected  
21 to a bi-directional communication channel, the value for  $T$  can be chosen such that  
22 the latency for retrieving any data file from the data carousel is less than the time it  
23 takes a user to retrieve a data file that is not in the data carousel.

24       Procedure 500 continues by selecting a first data file in the data carousel by  
25 setting a variable  $J$  equal to one (block 504). The procedure then calculates the

1 latency value for data file J (block 506). Block 508 determines whether the latency  
2 value for data file J satisfies the criterion. If the criterion is not met, the procedure  
3 removes all instances of data file J from the data carousel (block 510). Procedure  
4 500 then updates the number of modules in the data carousel to account for the  
5 removal of data file J (block 512). At block 514, the procedure re-labels the  
6 remaining modules to account for the fact that data file J has been removed from  
7 the data carousel. The procedure then determines whether all data files have been  
8 considered (block 516). If so, the procedure returns to block 502. Otherwise, the  
9 procedure returns to block 506 to continue evaluating the remaining data files.

10 If, in block 508, the latency value for data file J does satisfy the criterion,  
11 the procedure determines whether all data files have been considered (block 518).  
12 If so, the procedure returns to block 502. Otherwise, the procedure increments the  
13 value of J (block 520) and returns to block 506 to continue evaluating the  
14 remaining data files.

15 Thus, the procedure of Fig. 5 monitors and enforces the latency criterion.  
16 The procedure accounts for removed data files to calculate the remaining latencies.  
17 The latencies calculated before a data file removal from the data carousel are not  
18 recalculated. Removal of all instances of a data file from the data carousel will not  
19 worsen the latency, so the criterion will still be satisfied for these modules.

20 It should be noted that the procedure described in Figures 4A and 4B is  
21 complementary to the procedure described in Figure 5. More specifically, the  
22 procedure shown in Figures 4A and 4B is suitable for dynamic management of a  
23 Data Carousel (addition of a new data module) while procedure described in  
24 Figure 5 is suitable for off-line or initial configuration of a carousel.  
25

1 In one embodiment, a carousel controller (e.g., carousel controller 202 in  
2 Fig. 2) or similar device reports the calculated worst case latency associated with  
3 each data module in the data carousel. The carousel controller also identifies each  
4 of these worst case latencies as either complying with or exceeding a reference  
5 latency threshold value.

6 Turning now to Fig. 6, illustrated are exemplary operations 600 for  
7 modifying the arrangement of data files in a set of data files (data carousel) based  
8 on information obtained from receivers of the set of data files. The operations 600  
9 illustrated in Fig. 6 may be carried out separately or in combination with the  
10 operations described with respect to Figs. 4 and 5.

11 As shown in Fig. 6, a first transmission operation 610 transmits  
12 (broadcasts) a set of data files to each of a plurality of data file receivers 212. The  
13 first transmission operation 610 transmits the set of data files in a predetermined  
14 order and in a cyclical manner. In accordance with one implementation, the data  
15 files are arranged as a data carousel, as described above with respect to Fig. 3. In  
16 accordance with one implementation, the first transmission operation 610 is  
17 carried out by a carousel generator, such as the carousel generator 206 shown and  
18 described with respect to Fig. 2. In accordance with other implementations, the  
19 first transmission operation 610 may be carried out in or by other mechanisms,  
20 systems, and/or processes.

21 As previously noted, the data files in the set of data files may include  
22 various types of information or data used for various purposes. For example, in  
23 accordance with one implementation, each of the data files in the set of data files  
24 is composed of or includes data for rendering an image on a video display. These  
25 data may have various formats. For example, in accordance with one

1 implementation, each data file includes mark-up language code, such as HTML  
2 code, or the like. The data files may include information for providing user  
3 selectable links between various ones of the images or data files. For example, the  
4 data files may include information for facilitating and displaying hypertext links.  
5 The data files may be composed of or include various other types of proprietary or  
6 non-proprietary code or data. For example, the data files may be composed of or  
7 include computer executable instructions (computer programs). In general, data  
8 files may contain any type of data used for any purpose.

9 In accordance with one implementation, the data files are grouped into  
10 subsets. That is, various data files in the set are associated with one another in  
11 some manner to form subsets of the set of data files. The manner in which the data  
12 files are grouped or associated may vary, depending on such things as the type of  
13 data in the files, the functional relationship of the data files to one another, the  
14 manner in which the files will be used by the data file receivers, the order in which  
15 the data files may be displayed by the data file receivers, and so on. For example,  
16 in the case where the data files comprise or include HTML code, the files may be  
17 grouped according to their relative positions, associations, or linkages in a web site  
18 that is represented by the data files, or their likely order of presentation on the data  
19 file receivers. In the case where the data files comprise or include computer  
20 executable instructions or program, the files may be grouped according to their  
21 functional relationship to one another, their type, or their likely order of execution  
22 on the data file receivers, and so on. In general, the data files in a set of data files  
23 may be grouped into subsets in any number of ways and by any of a number of  
24 different mechanisms or techniques.  
25



1 For example, and without limitation, in the case where the data files  
2 represent a series of linked, hierarchically arranged pages, data files related to or  
3 representing various ones of the pages may be grouped into subsets. The data files  
4 in each subset may be selected as members of the subset based on their proximity  
5 in the hierarchy to one another. For example, data files representing a given branch  
6 or portion of a branch in the hierarchy may be associated together in a common  
7 subset. Alternatively, the data files may be associated in a set according to the  
8 level they occupy in the hierarchy. For example, all data files corresponding to a  
9 first or top level in the hierarchy may be members of a given subset, all data files  
10 corresponding to a second level in the hierarchy may be members of a given  
11 subset, and so on. Those skilled in the art will appreciate that there are numerous  
12 other ways that hierarchically arranged data may be categorized and/or associated.

13 In another example, and without limitation, in the case where each data  
14 files comprises or includes a computer executable program, the data files  
15 (programs) may be grouped according to subject matter. For example, if each data  
16 file is a computer executable game, they may be grouped according to arcade  
17 games, casino games, fantasy games, and so on. Those skilled in the art will  
18 appreciate that there are numerous other ways that computer executable programs  
19 may be categorized and/or associated.

20 Following the first transmission operation 610, a receiving operation 612  
21 receives information from one or more of the data file receivers. In general, the  
22 information received in the receiving operation 612 may include any type of  
23 information in any form that may be sent by one or more data file receivers and  
24 used in modifying the arrangement of data files in the set of data files. Likewise,  
25 the manner in which the information is conveyed from the data file receivers may

1 vary. In accordance with one embodiment, as previously described, the  
2 information is conveyed from the data file receivers via a back channel.

3 In accordance with one implementation, the received information is  
4 composed of or includes information related to individual data files; either  
5 previously sent the data file receivers or a data file that is desired by the data file  
6 receiver. In accordance with another implementation, the received information is  
7 composed of or includes information related to one or more subsets of data files;  
8 either previously sent the data file receivers or a data file that is desired by the data  
9 file receiver.

10 In accordance with one implementation, the receiving operation 612 is  
11 carried out in or by a carousel controller, such as the carousel controller 202  
12 shown and described above with respect to Fig. 2. In accordance with other  
13 implementations, the receiving operation 612 may be carried out in or by other  
14 mechanisms, systems, and/or processes.

15 Next, a modify operation 614 modifies the set of data files transmitted  
16 during transmission operation 610 based on information received from one or  
17 more of the data file receivers. In general, a modification to the set of data files  
18 performed by the modify operation 614 may be any type of structural or functional  
19 alteration made to any one data file or subset of data files, or to the set of data files  
20 as a whole. For example, in accordance with one embodiment, modification of the  
21 set of data files includes adding and/or deleting a data file from the set of data  
22 files. In accordance with another embodiment, modification of the set of data files  
23 includes changing the order of data files in the set of data files. In accordance with  
24 another embodiment, modification of the set of data files includes changing a  
25 frequency of an existing data file in the set of data files. In accordance with

1 another embodiment, modification of the set of data files includes changing the  
2 transmission rate of the set of data files. It should be appreciated that the  
3 modification of the set of data files in modification operation 614 may solely  
4 comprise or additionally include or implement any of the previously described  
5 processes described with respect to Figs. 4 and 5.

6 In accordance with one implementation, the modify operation 614 is carried  
7 out by a carousel controller, such as the carousel controller 202 shown and  
8 described above with respect to Fig. 2. In accordance with other implementations,  
9 the modify operation 614 may be carried out in or by other mechanisms, systems,  
10 and/or processes.

11 Following the modify operation 614, a second transmission operation  
12 616 transmits (broadcasts) the set of data files, as modified in modify operation  
13 614, to each of a plurality of data file receivers 212. As with the first transmission  
14 operation 610, the second transmission operation 616 transmits the modified set of  
15 data files in a predetermined order and in a cyclical manner.

16 In accordance with one implementation, the second transmission operation  
17 616 is carried out by a carousel generator, such as the carousel generator 206  
18 shown and described with respect to Fig. 2. In accordance with other  
19 implementations, the second transmission operation 616 may be carried out in or  
20 by other mechanisms, systems, and/or processes.

21 Fig. 7 illustrates an example of a computing environment 700 within which  
22 the data carousel systems and methods, as well as the computer, network, and  
23 system architectures described herein, can be either fully or partially implemented.  
24 Exemplary computing environment 700 is only one example of a computing  
25 system and is not intended to suggest any limitation as to the scope of use or

1 functionality of the network architectures. Neither should the computing  
2 environment 700 be interpreted as having any dependency or requirement relating  
3 to any one or combination of components illustrated in the exemplary computing  
4 environment 700.

5 The computer and network architectures can be implemented with  
6 numerous other general purpose or special purpose computing system  
7 environments or configurations. Examples of well known computing systems,  
8 environments, and/or configurations that may be suitable for use include, but are  
9 not limited to, personal computers, server computers, thin clients, thick clients,  
10 hand-held or laptop devices, multiprocessor systems, microprocessor-based  
11 systems, set top boxes, programmable consumer electronics, network PCs,  
12 minicomputers, mainframe computers, gaming consoles, distributed computing  
13 environments that include any of the above systems or devices, and the like.

14 The computing environment 700 includes a general-purpose computing  
15 system in the form of a computing device 702. The components of computing  
16 device 702 can include, by are not limited to, one or more processors 704 (e.g.,  
17 any of microprocessors, controllers, and the like), a system memory 706, and a  
18 system bus 708 that couples various system components including the processor  
19 704 to the system memory 706. The one or more processors 704 process various  
20 computer-executable instructions to control the operation of computing device 702  
21 and to communicate with other electronic and computing devices.

22 The system bus 708 represents any number of several types of bus  
23 structures, including a memory bus or memory controller, a peripheral bus, an  
24 accelerated graphics port, and a processor or local bus using any of a variety of  
25 bus architectures. By way of example, such architectures can include an Industry

1 Standard Architecture (ISA) bus, a Micro Channel Architecture (MCA) bus, an  
2 Enhanced ISA (EISA) bus, a Video Electronics Standards Association (VESA)  
3 local bus, and a Peripheral Component Interconnects (PCI) bus also known as a  
4 Mezzanine bus.

5 Computing environment 700 typically includes a variety of computer-  
6 readable media. Such media can be any available media that is accessible by  
7 computing device 702 and includes both volatile and non-volatile media,  
8 removable and non-removable media. The system memory 706 includes  
9 computer-readable media in the form of volatile memory, such as random access  
10 memory (RAM) 710, and/or non-volatile memory, such as read only memory  
11 (ROM) 712. A basic input/output system (BIOS) 714, containing the basic routines  
12 that help to transfer information between elements within computing device 702,  
13 such as during start-up, is stored in ROM 712. RAM 710 typically contains data  
14 and/or program modules that are immediately accessible to and/or presently  
15 operated on by the processing unit 704.

16 Computing device 702 can also include other removable/non-removable,  
17 volatile/non-volatile computer storage media. By way of example, a hard disk  
18 drive 716 is included for reading from and writing to a non-removable, non-  
19 volatile magnetic media (not shown), a magnetic disk drive 718 for reading from  
20 and writing to a removable, non-volatile magnetic disk 720 (e.g., a "floppy disk"),  
21 and an optical disk drive 722 for reading from and/or writing to a removable, non-  
22 volatile optical disk 724 such as a CD-ROM, DVD, or any other type of optical  
23 media. The hard disk drive 716, magnetic disk drive 718, and optical disk drive  
24 722 are each connected to the system bus 708 by one or more data media  
25 interfaces 726. Alternatively, the hard disk drive 716, magnetic disk drive 718, and

1 optical disk drive 722 can be connected to the system bus 708 by a SCSI interface  
2 (not shown).

3 The disk drives and their associated computer-readable media provide  
4 non-volatile storage of computer-readable instructions, data structures, program  
5 modules, and other data for computing device 702. Although the example  
6 illustrates a hard disk 716, a removable magnetic disk 720, and a removable  
7 optical disk 724, it is to be appreciated that other types of computer-readable  
8 media which can store data that is accessible by a computer, such as magnetic  
9 cassettes or other magnetic storage devices, flash memory cards, CD-ROM, digital  
10 versatile disks (DVD) or other optical storage, random access memories (RAM),  
11 read only memories (ROM), electrically erasable programmable read-only  
12 memory (EEPROM), and the like, can also be utilized to implement the exemplary  
13 computing system and environment.

14 Any number of program modules can be stored on the hard disk 716,  
15 magnetic disk 720, optical disk 724, ROM 712, and/or RAM 710, including by  
16 way of example, an operating system 726, one or more application programs 728,  
17 other program modules 730, and program data 732. Each of such operating system  
18 726, one or more application programs 728, other program modules 730, and  
19 program data 732 (or some combination thereof) may include an embodiment of  
20 the systems and methods for a test instantiation system.

21 Computing device 702 can include a variety of computer-readable media  
22 identified as communication media. Communication media typically embodies  
23 computer-readable instructions, data structures, program modules, or other data in  
24 a modulated data signal such as a carrier wave or other transport mechanism and  
25 includes any information delivery media. The term "modulated data signal" refers

1 to a signal that has one or more of its characteristics set or changed in such a  
2 manner as to encode information in the signal. By way of example, and not  
3 limitation, communication media includes wired media such as a wired network or  
4 direct-wired connection, and wireless media such as acoustic, RF, infrared, and  
5 other wireless media. Combinations of any of the above are also included within  
6 the scope of computer-readable media.

7 A user can enter commands and information into computing device 702 via  
8 input devices such as a keyboard 734 and a pointing device 736 (e.g., a “mouse”).  
9 Other input devices 738 (not shown specifically) may include a microphone,  
10 joystick, game pad, controller, satellite dish, serial port, scanner, and/or the like.  
11 These and other input devices are connected to the processing unit 704 via  
12 input/output interfaces 740 that are coupled to the system bus 708, but may be  
13 connected by other interface and bus structures, such as a parallel port, game port,  
14 and/or a universal serial bus (USB).

15 A monitor 742 or other type of display device can also be connected to the  
16 system bus 708 via an interface, such as a video adapter 744. In addition to the  
17 monitor 742, other output peripheral devices can include components such as  
18 speakers (not shown) and a printer 746 which can be connected to computing  
19 device 702 via the input/output interfaces 740.

20 Computing device 702 can operate in a networked environment using  
21 logical connections to one or more remote computers, such as a remote computing  
22 device 748. By way of example, the remote computing device 748 can be a  
23 personal computer, portable computer, a server, a router, a network computer, a  
24 peer device or other common network node, and the like. The remote computing  
25

1 device 748 is illustrated as a portable computer that can include many or all of the  
2 elements and features described herein relative to computing device 702.

3 Logical connections between computing device 702 and the remote  
4 computer 748 are depicted as a local area network (LAN) 750 and a general wide  
5 area network (WAN) 752. Such networking environments are commonplace in  
6 offices, enterprise-wide computer networks, intranets, and the Internet. When  
7 implemented in a LAN networking environment, the computing device 702 is  
8 connected to a local network 750 via a network interface or adapter 754. When  
9 implemented in a WAN networking environment, the computing device 702  
10 typically includes a modem 756 or other means for establishing communications  
11 over the wide network 752. The modem 756, which can be internal or external to  
12 computing device 702, can be connected to the system bus 708 via the  
13 input/output interfaces 740 or other appropriate mechanisms. It is to be  
14 appreciated that the illustrated network connections are exemplary and that other  
15 means of establishing communication link(s) between the computing devices 702  
16 and 748 can be employed.

17 In a networked environment, such as that illustrated with computing  
18 environment 700, program modules depicted relative to the computing device 702,  
19 or portions thereof, may be stored in a remote memory storage device. By way of  
20 example, remote application programs 758 reside on a memory device of remote  
21 computing device 748. For purposes of illustration, application programs and other  
22 executable program components, such as the operating system, are illustrated  
23 herein as discrete blocks, although it is recognized that such programs and  
24 components reside at various times in different storage components of the  
25 computer system 702, and are executed by the data processor(s) of the computer.



1           Although the description above uses language that is specific to structural  
2 features and/or methodological acts, it is to be understood that the invention  
3 defined in the appended claims is not limited to the specific features or acts  
4 described. Rather, the specific features and acts are disclosed as exemplary forms  
5 of implementing the invention.  
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